

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

Printer Driver Identification For A Remote Printer

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1 **TECHNICAL FIELD**

2 This invention relates to server-client systems and, in particular, to a server-
3 client system in which a proper printer driver for a printer attached to the client is
4 identified.

5
6 **BACKGROUND OF THE INVENTION**

7 In a typical server-client system, a server computer is connected to several
8 client computers or terminals via a network. In such a system, applications are
9 executed at the server rather than at the client. The server transmits display
10 information to the client for display at the client, and user inputs (e.g., via
11 keyboard or mouse) at the client are transmitted to the server for processing by an
12 application(s) executing at the server. The "client" may be an entire client
13 computer, or alternatively an application executing at the client computer.

14 A server in such a system typically has an operating system that can run
15 several client sessions concurrently. Each client user has access to various
16 resources of the server, including the processor, data storage, application
17 programs, etc. Software applications that are resident on the server are available
18 to each client for independent execution by the client. Each session is independent
19 from the other sessions and, therefore, one client cannot access information
20 relating to another client. In this manner, the server provides a logically
21 independent machine for each client connected to the server.

22 Client users frequently use peripheral devices physically attached to the
23 client machines. For example, a user may wish to attach a printer to the user's
24 client computer (a "local" printer) in order to print data generated by an
25 application that is running on the server computer. To do this, currently the user

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must manually install the local printer and redirect the printer queue created by the server to the I/O port of the client computer to which the printer is connected. Such manual installation of peripheral devices is undesirable because it requires significant time and effort on the part of the user. A co-pending application (U.S. Patent Application No. 09/_____) entitled "Automatic Detection And Installation Of Client Peripheral Devices By A Server", to Tad Brockway, Madan Appiah, Adam Overton, and Ritu Bahl, filed concurrently herewith, (Attorney Docket No. MS1-432US) describes a system which resolves many of these manual installation problems by automatically detecting such devices and installing and corresponding device drivers at the server.

However, one problem that can be encountered with either manual identification or automatic detection of peripheral devices is the identification of the proper driver to be installed. In order to properly install a peripheral device, the correct driver needs to be installed at the server. Typically, the client notifies the server of the correct driver to use for a particular device. However, situations can arise where the driver that the client indicates should be used for a particular peripheral device is not available at the server, thereby preventing installation of that particular device.

The invention described below addresses these disadvantages, providing for printer driver identification for a remote printer.

SUMMARY OF THE INVENTION

In a server-client environment, a peripheral device can be attached to a client computer. The server selects a corresponding printer driver to install on the server to allow applications executing on the server to print to the printer attached

1 to the remote client computer. For purposes of discussion, reference herein is
2 made primarily to printers. However, the driver selection described herein can be
3 analogously applied to any of a variety of peripheral devices, such as scanners,
4 card readers, zip drives, etc.

5 An embodiment of the server selects a printer driver to install at the server
6 for use with the printer attached to the remote client computer using an "exact
7 match" technique. In this embodiment, the server receives a printer driver
8 identifier and checks whether any of a plurality of printer drivers maintained at the
9 server has a corresponding identifier that matches the received printer driver
10 identifier. If such a match is found, then the matching printer driver from the
11 plurality of printer drivers is installed on the server.

12 Another embodiment of the server selects a printer driver to install at the
13 server for use with the printer attached to the remote client computer using a
14 "driver name mapping" technique. In this embodiment, the server receives a
15 printer driver identifier and checks whether any of a plurality of printer drivers
16 maintained at the server has a corresponding identifier that is different than the
17 received printer driver identifier but that corresponds to the same printer driver as
18 the received printer driver identifier. Such differences can occur, for example,
19 when a manufacturer or distributor of a printer driver changes the name of the
20 printer driver. If such a match is found, then the matching printer driver from the
21 plurality of printer drivers is installed on the server.

22 Another embodiment of the server selects a printer driver to install at the
23 server for use with the printer attached to the remote client computer using a
24 "close match" technique. In this embodiment, the server receives a printer driver
25 identifier and checks whether any of a plurality of printer drivers maintained at the

1 server has a corresponding driver name that is the same as a driver name received
2 as part of the printer driver identifier. If such a match is found, then the matching
3 printer driver from the plurality of printer drivers is installed on the server without
4 regard for whether that particular printer driver has a corresponding driver version
5 that is the same as a driver version received as part of the printer driver identifier.

6 Another embodiment of the server issues a notification when a printer
7 driver is installed that is not an exact match to a received printer driver identifier.
8 Such an "inexact" match could be due to a change in driver name, installation of a
9 previous version of a requested printer driver, installation of a more recent version
10 of a requested printer driver, etc. The notification can be used, for example, to
11 make a system administrator or the user of the client computer aware that the
12 inexact match occurred.

13 Another embodiment of the server attempts to automatically update a
14 printer driver under certain circumstances. In this embodiment, if a received
15 printer driver identifier indicates a more recent version of a printer than is
16 available on the server, the server attempts to locate the more recent version and
17 add it to the server so that the most recent version can be installed on the server.

18 19 **BRIEF DESCRIPTION OF THE DRAWINGS**

20 The present invention is illustrated by way of example and not limitation in
21 the figures of the accompanying drawings. The same numbers are used
22 throughout the figures to reference like components and/or features.

23 Fig. 1 is a diagrammatic illustration of a server/client system.

24 Fig. 2 is a block diagram illustrating a server/client system in more detail.
25

1 Fig. 3 is a flow diagram depicting an exemplary process for installing
2 peripheral devices at a server that are attached to a client.

3 Fig. 4 is a flow diagram of an exemplary process for installing an
4 appropriate printer driver in accordance with one embodiment of the invention.
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6 **DETAILED DESCRIPTION**

7 Fig. 1 shows a server/client computer system 30 having a server 32, a first
8 client 34 and a second client 36 interconnected via a network 38. The server 32
9 and the clients 34, 36 have modems or network cards, which facilitate access
10 (direct or otherwise) to the network 38 so that the server 32 and the clients 34, 36
11 can exchange information over the network 38. The clients 34, 36 each have a
12 desktop 40, 42 interface displayed.

13 The server 32 is a computer. A client 34, 36 may be a computer having
14 Plug and Play capability, a computer that is not Plug and Play compatible, or a
15 terminal, which does not have the processing capability of a computer.
16 Implications of utilizing the different types of clients will be discussed in greater
17 detail below.

18 It is noted that, although the server/client computer system 30 depicted in
19 Fig. 1 has a first client 34 and a second client 36, there may be one to any number
20 of clients connected to the server 32 concurrently. The number of clients that may
21 be connected to a server is limited only by the architecture of a particular server.

22 The server 32 is configured to provide a logically independent machine for
23 each client 34, 36 connected to the network 38. That is, the server 32 establishes a
24 session for each client 34, 36, provides the desktop 40, 42 for each client 34, 36,
25 and makes server resources available to the clients 34, 36. Such resources include,

1 but are not limited to, allocations of processor time, memory, data storage, video
2 processing, application programs, etc. A user of either of the clients 34, 36
3 interacts with the desktop 40, 42 on the client 34, 36 to run software applications
4 that reside on the server 32. While the user provides input to and receives output
5 from the client 34, 36, most processing is performed at the server 32.

6 The network 38 provides a communications link between the server 32 and
7 the clients 34, 36 through which data is transmitted. The network 38 may be a
8 local area network (LAN), a wide area network (WAN), the Internet, or the like,
9 provided that it can accommodate server/client functionality.

10 Fig. 2 shows a server/client system 50 having a server 52 and a client 54.
11 The server 52 includes a processor 56 and memory 58. The server 52 also has a
12 network port 60, which facilitates access to the network 38. The network port 60
13 may be implemented as a modem, network card, or other device, which interfaces
14 the server 52 to the network 38.

15 The server 52 can be implemented as a common personal computer or other
16 type of computer, such as a laptop computer, etc. The server 52 runs an operating
17 system 62, which is stored in memory 58 and executes on the processor 56. The
18 operating system 62 is a multitasking operating system such as a Windows® brand
19 operating system from Microsoft Corporation (e.g., Windows® 98, Windows® 95,
20 Windows® NT, or other derivative of Windows®). However, other multi-tasking
21 operating systems may be used.

22 The server 52 has a printing subsystem 64 implemented in the operating
23 system 62 stored in memory 58. The printing subsystem 64 is used to direct all
24 operations involving printers and printing, including installing printers, creating
25 and managing printer queues, removing printers, uninstalling printers, etc. The

1 printing subsystem includes a peripheral mapping unit 66 that is configured to
2 map, or redirect, printer queues to printer ports. The printing subsystem 64 may
3 be an integral part of the operating system 62 or it may be a software function that
4 is callable by the operating system 62 or by application programs.

5 The server 52 is shown having a printer driver 70 and a printer queue 72
6 installed and resident within the memory 58. It is noted, however, that the server
7 52 will only include the printer driver 70 and the printer queue 72 when a printer
8 has been connected to the system 50 and installed on the server 52. The printer
9 driver 70 is a printer-specific software program that provides an interface between
10 a printer and the server 52 and allows the server 52 to provide print functions via
11 the printer. When the printer driver 70 is installed on the server 52, the printer
12 queue 72 is created. The printer queue 72 accepts print jobs from the server 52
13 and queues them for printing.

14 The server 52 further includes a driver library 68, which is a set of multiple
15 peripheral device drivers that are available for installation on the server 52 (e.g., as
16 the printer driver 70). In the illustrated example, the driver library 68 is
17 maintained at the server 52. Alternatively, the driver library may be maintained at
18 some other location (e.g., a remote location accessible via the network 38) that is
19 accessible to the server 52. When a driver is installed on the server 52 for a device
20 attached to the client 54, the driver is obtained from driver library 68.

21 The operating system 62 further includes a driver matching module 69.
22 When a driver is to be installed on the server 52, the server 52 receives an
23 indication (e.g., automatically detected by the client 54 or manually input by a
24 user) of the driver that is to be installed. However, situations can arise when the
25 received indication identifies a driver that is not available to the server 52 (e.g., is

1 not in the driver library 68) and thus is not available for installation at the server
2 52. The driver matching module 69 remedies such situations by attempting to
3 select, for installation at the server 52, a driver that most closely matches the
4 driver identified in the received indication.

5 The server 52 further includes a driver mapping 71. The driver mapping 71
6 is a record (e.g., a list) of old driver names and new driver names. Situations can
7 arise where the name of a driver is changed. Such changes are maintained by the
8 server 52 in order to install the appropriate driver in the event that the driver is
9 identified by its old name, as discussed in more detail below.

10 The client 54 includes memory 76 and a display 78. Similar to the server
11 52, the client 54 also has a network port 79 to facilitate access to the network 38.
12 The network port 79 may be implemented as a modem, network card, or other
13 device, which interfaces the client 54 to the network 38. The client 54 also
14 includes a printing subsystem 82 resident in the memory 76, a first I/O port 84, a
15 second I/O port 86, and a printer 88 connected to the first I/O port 84. The
16 printing subsystem supports the concept of printing queues, which are logical
17 containers that encompass a physical printer's driver information, properties, and
18 pending print jobs.

19 The client 54 has a system registry 90 located in the memory 76. The
20 printing subsystem 82 may be an integral part of the operating system 80 or it may
21 be a software function that is callable by the operating system 80 or by application
22 programs. The server/client system 50 also includes the printer 88, which is
23 connected to the first I/O port 84. A printer queue 92 is installed in the memory
24 76 for the printer 88.

1 It is noted that, although the client 54 is depicted as being a computer, the
2 client 54 may also be a terminal, which does not have the mass storage or
3 processing capabilities of a computer. The present invention functions similarly
4 whether the client 54 is a computer or a terminal.

5 The client 54 may automatically detect the printer 88, or the printer 88 may
6 be manually identified to the client 54 and/or the server 52. For example, a user
7 may manually notify the server 52 or the client 54 of the location of the printer 88
8 and an I/O port of the client 54 to which the printer 88 is attached (e.g., by use of a
9 printer setup "wizard").

10 When the printer 88 is attached to the client 54, information identifying a
11 corresponding printer driver to use for the printer 88 is provided to the server 52.
12 This information can be automatically detected by the client 54 or alternatively
13 can be supplied manually by the user of the client 54. Additionally, the
14 information can be provided when the user of the client 54 logs on to the server
15 52, or alternatively at some subsequent time. Regardless of the source of the
16 printer driver information or when the information is provided, this received
17 printer driver information is used by the server 52 in selecting an appropriate
18 printer driver from the driver library 68 to install on the server 52 in order to allow
19 applications executing on the server 52 to print to the printer 88.

20 Fig. 3 is a flow diagram depicting an exemplary process for installing
21 peripheral devices at a server that are attached to a client. The method is described
22 with continuing reference to Figs. 1 and 2.

23 At step 200 in Fig. 3, the client 54 establishes a connection with the server
24 52. After the client 54 establishes the connection, the client 54 waits for an
25 announcement package from the server 52. When the server 52 detects the

1 connection from the client 54 (step 202), the server 52 sets up a session for the
2 client 54 at step 204. At step 206, the server 52 initializes the data structures
3 necessary to provide the session for the client 54. When this is completed, the
4 server 52 sends an announcement package to the client 54, which signals the client
5 54 that it can commence interactive communications with the server 52.

6 At step 208, the printer(s) attached to the client 54 are identified. As
7 previously discussed, the client 54 is a computer having a printing subsystem. The
8 printing subsystem provides an interface, such as an Application Programming
9 Interface (API) that allows applications (such as operating system 80 or another
10 application separate from operating system 80) to identify printer queues installed
11 at the client 54 (e.g., the printer 88). Such queues can be installed at client 54 in
12 any of a variety of manners, such as automatically (e.g., using a Plug and Play
13 (PnP) subsystem), or manually. At step 210, information describing these
14 identified queues, such as a particular driver name and version or a PnP identifier,
15 is sent to the server 52.

16 At step 214, the server 52 installs the identified printer(s). The printing
17 subsystem 64 on the server 52 parses the information to determine the printer
18 driver corresponding to the printer 88 that should be installed on the server 52.
19 This identified printer driver is then used to determine which printer driver from
20 library 68 to install as printer driver 70, as discussed in more detail below. The
21 printer driver 70 is installed on the server 52 and the printer queue 72 is created.
22 The peripheral mapping unit 66 then redirects the printer queue 72 to the I/O port
23 84 to which the printer 88 is connected.
24
25

1 Fig. 4 is a flow diagram illustrating an exemplary process for installing an
2 appropriate printer driver in accordance with one embodiment of the invention.
3 Fig. 4 is described with additional reference to components in Fig. 2.

4 Initially, the server 52 obtains the printer driver information (step 422),
5 which identifies a printer driver that is expected to be installed on the server 52 for
6 use with the printer 88. This “expected driver” can be determined in various
7 manners. For example, the user of the client 54 may indicate a particular printer
8 driver to use, or alternatively a PnP subsystem on the client 54 may indicate that a
9 particular printer driver is to be used (e.g., by driver name and version number, by
10 a PnP identifier that can be resolved by server 52 into a driver name and version
11 (e.g., via a lookup table), etc.). The printer driver information includes both a
12 printer driver name and a printer driver version. Typically the printer driver
13 information for one printer will identify a single printer driver, although
14 alternatively multiple printer drivers (including multiple names and multiple
15 versions) may be identified in the printer driver information.

16 Situations can arise where the identified printer driver is not available on
17 the server 52. Such situations can arise for a variety of different reasons. For
18 example, the name of the printer driver (and/or the version of the printer driver)
19 may have been changed by the manufacturer (or developer, distributor, etc.) of the
20 driver, so that the identified printer driver name no longer exists. By way of
21 another example, the version of the identified printer driver may be a new or older
22 version of the printer driver than is available on the server 52.

23 Thus, the server 52 attempts to match the received printer driver
24 information to a particular printer driver available to the server 52 (step 424). The
25 server 52 then takes different actions depending on whether the attempt to match

1 the received printer driver information to a particular printer driver is successful
2 (step 426). If the attempt to match is successful, then the matching printer driver
3 is installed at the server (step 428). However, if the attempt to match is
4 unsuccessful, then the driver installation process fails (step 430).

5 The server 52 uses various techniques to attempt to match the received
6 printer driver information to a particular printer driver. In the illustrated example,
7 three such techniques are used, referred to as "exact match" (step 432), "driver
8 name mapping" (step 434), and "close match" (step 436). Each of these
9 techniques involves comparing at least a portion of the received printer driver
10 information to information corresponding to the printer drivers available to the
11 server 52. Such comparisons can be done by accessing each of the printer drivers
12 individually, by accessing a record of the printer driver information for each of the
13 available printer drivers (having identifiers or links to the corresponding printer
14 driver(s)), or by a combination thereof.

15 The server 52 may follow a particular ordering in using these techniques,
16 such as using the exact match technique first, followed by the driver name
17 mapping and close match techniques if necessary. Alternatively, two or more of
18 these techniques could be used concurrently.

19 In the exact match technique (step 432), the driver matching module 69
20 searches for an exact match between the received printer driver information and
21 the information corresponding to the printer drivers available to the server 52. An
22 exact match between the received printer driver information and a particular
23 printer driver available to the server 52 refers to the printer driver names being the
24 same and the printer driver versions being the same.
25

1 In the driver name mapping technique (step 434), the driver matching
2 module 69 searches for a change in driver name. Situations can arise where the
3 name of a particular driver is changed. The server 52 maintains a record (e.g.,
4 driver mapping 71) of changes to driver names. This record includes the previous
5 name(s) for printer drivers as well as their new names. If a received driver name
6 is included in this record as a previous name, then the server 52 searches the
7 available printer drivers for the new name identified from this record.

8 For example, assume that at the time the printer 88 is initially attached to
9 the client 54 the correct name for the printer driver for the printer 88 is "driverY",
10 and this information is recorded at the client 54. Subsequently, the manufacturer
11 of the printer 88 changes its naming convention, so that the correct name for the
12 printer driver for the printer 88 is changed to "X printer driver". Further assume
13 that the server 52 is distributed after the naming convention change occurs, so the
14 server 52 has available to it the printer driver with the name "X printer driver" but
15 does not have "driverY" available to it. In this situation, a record of the change in
16 printer driver name is maintained by the server 52 (e.g., the manufacturer making
17 the change in naming convention notifies a system administrator of the change in
18 naming convention or the old and new driver names for the printer drivers affected
19 by the naming convention change). Thus, if the client 54 subsequently identifies
20 to the server 52 "driverY" in its printer driver information, the server 52 knows
21 that "X printer driver" is the matching printer driver to be installed.

22 The driver name mapping technique can be used as an additional technique
23 in the event the exact match technique (and/or the close match technique) fails.
24 Alternatively, the driver name mapping technique may be used prior to either the
25 exact match technique or the close match technique, with the new driver name

1 resulting from application of the driver name mapping technique being used as the
2 received driver name (and possible driver version) during application of the exact
3 match and close match techniques.

4 In the close match technique (step 436), the driver matching module 69
5 searches for an available printer driver with the same name as the received printer
6 driver but a different driver version. Situations can arise where one of the server
7 52 and the client 54 is aware of a more recent version of the printer driver than the
8 other is aware of. In such situations, as long as the printer driver name matches,
9 the server 52 will select the version of the printer driver it has available to it for
10 installation. This selection by the server 52 occurs regardless of whether the
11 version identified by the client 54 is a newer version or an older version than the
12 version available to the server 52.

13 For example, the client 54 may be aware of version 2.1 of "driverZ" and
14 identify to the server 52, as the printer driver information for a particular printer,
15 version 2.1 of "driverZ". However, the server 52 may only have version 2.0 of
16 "driverZ" and not yet have version 2.1 available to it. In this situation, the server
17 52 selects version 2.0 of "driverZ" for installation. By way of another example,
18 the client 54 may be aware of version 3.10 of "driverA" and identify to the server
19 52, as the printer driver information for a particular printer, version 3.10 of
20 "driverA". However, the server 52 may have a newer version of "driverA"
21 available to it, version 3.11. In this situation, the server 52 selects version 3.11 of
22 "driverA" for installation.

23 Alternatively, the driver name mapping technique (step 434) and the close
24 match technique (step 436) can be combined into a single step. The record
25 maintained by server 52 of changes to driver names (e.g., driver mapping 71) can

also include mappings of "requested driver to available driver" for all clients or alternatively on a per-client basis. For example, server 52 may maintain a record that when a request is made for version 3.10 of "driverA", that the driver to be installed is version 3.11 of "driverA". Such mapping information can be identified for storage at server 52 in a variety of manners, such as manual identification by a system administrator, automatic detection the first time it arises (e.g., close match technique step 436), etc.

The server 52 may also optionally take additional actions depending on which of the various mapping techniques were used in obtaining a printer driver match. One such action is to issue a notification in the event that anything other than the exact match technique was used in selecting the printer driver for installation. The notification could simply be a warning that a technique other than exact match was used, or alternatively may include additional information describing the matching process or results, such as what technique(s) was used, what driver was selected as the matching driver, what printer driver information was received, etc. Such notifications can be made in any of a wide variety of forms, such as: sending an electronic mail message to the user of the client 54 or a system administrator; displaying a dialog box on either the client 54 or the server 52; sounding an audible "alarm" at the server 52; adding an entry to a notification log; placing a telephone call (e.g., to a phone or pager); etc.

Another action that may be taken is to automatically attempt to add a new driver to the drivers available to the server 52. For example, if the received printer driver information identifies a newer version of a particular driver that is not available to the server 52, the server 52 can automatically attempt to obtain that new driver. The new driver can be obtained in any of a variety of manners, such

1 as accessing a remote server computer for the distributor (or manufacturer, etc.) of
2 the printer driver via the Internet, via a direct-dial connection, etc. The server 52
3 can be programmed with information identifying how to connect to such a remote
4 server (e.g., including a telephone number, uniform resource locator (URL), etc.).
5 Once the new driver is obtained, it could be installed at the server 52 immediately,
6 or alternatively could be used the next time the client 54 logs on to the server 52
7 (so that subsequent matching attempts would result in an exact match).

8 9 **Conclusion**

10 In a server-client environment as described herein a printer can be attached
11 to a client computer and made available for printing to by applications executing
12 on the server computer. The server receives information identifying a printer
13 driver to be used for the printer and attempts to identify a closest matching printer
14 driver to be installed at the server.

15 Although the invention has been described in language specific to structural
16 features and/or methodological steps, it is to be understood that the invention
17 defined in the appended claims is not necessarily limited to the specific features or
18 steps described. Rather, the specific features and steps are disclosed as preferred
19 forms of implementing the claimed invention.